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# SUSTAINABLE INVESTING

Overview engagements








September 2020

## LEGENDA

### Fundamental Investment Principles

Theme	For companies	For sovereigns
	<b>Principles related to humans &amp; humanity</b>	
  	<ol style="list-style-type: none"> <li>1. Compliance with basic human rights</li> <li>2. Compliance with basic labour rights</li> <li>3. No involvement in controversial weapons, no production and sale of civilian firearms and no provision of military equipment to military regimes</li> </ol>	<ol style="list-style-type: none"> <li>a. Uphold and protect fundamental human rights</li> <li>b. No involvement in controversial arms trade</li> </ol>
	<b>Principles related to society</b>	
  	<ol style="list-style-type: none"> <li>4. Compliance with international sanctions</li> <li>5. No systematic involvement in fraud, corruption and tax evasion</li> <li>6. No significant involvement in products or businesses doing harm to human (mental) health or animal welfare</li> </ol>	<ol style="list-style-type: none"> <li>c. The notice and the application of the principles of good governance and anti-corruption efforts</li> </ol>
	<b>Principles related to the environment</b>	
	<ol style="list-style-type: none"> <li>7. No systematic involvement in severe environmental damage</li> </ol>	Idem as c.

### Material Sustainable Drivers

	Drivers	
	<b>Fossil fuel use</b>	Management of fossil fuels use, impacting among other things climate change, air pollution, energy availability and human health
	<b>Water use</b>	Management of freshwater use in water scarce areas, impacting among other things water availability, water quality, health and food production
	<b>Land use</b>	Land conversion management especially for agricultural purposes, impacting climate change, biodiversity loss, and water flows but also local communities and inequalities.
	<b>Chemicals and waste management</b>	Management of toxic and long-lived chemical substances and (hazardous) waste and plastics, impacting the environment and human health.
	<b>Social capital management</b>	Actions to maintain the license to operate on which businesses and sovereigns depend, impacting human rights, community relations, social equity and access and affordability of for instance health care and finance.
	<b>Human capital management</b>	Activities related to labour and union rights, employee health & safety and labour practices, impacting educational opportunities and income and gender inequality.
	<b>Organisational behaviour and integrity</b>	Actions to create an ethical business environment, impacting community networks, justice and work conditions. For companies this refers to their own business model, but also to how they treat companies up- and downstream in their value chain. For sovereigns this refers to how they govern human, civic and political rights.

Every year, ACTIAM conducts hundreds of engagements with investees to encourage action upon their sustainability risks and opportunities. This way, these companies are preparing themselves for a sustainable transition. Engagement means entering into a dialogue with companies to steer behavior in a positive direction. The dialogue can be of a responsive nature in response to specific incidents, or proactive, to propose solutions with which the companies can move upwards in the acceptable investment universe of ACTIAM.

## RESPONSIVE ENGAGEMENTS

Company	Fundamental Investment Principles							Material Sustainable Drivers						
AGL Energy Ltd							•							
Bausch Health Companies Inc.	•													
Bayer Aktiengesellschaft			•			•								
BHP Billiton Ltd	•						•							
Companhia Siderúrgica Nacional									•					
Glencore Plc		•			•		•							
Golden Agri Resources	•						•							
Indofood Sukses Makmur PT		•					•							
Johnson & Johnson	•				•	•								
Mitsubishi Corporation							•							
Mitsubishi Motors Corp														•
Pioneer Natural Resources Corp									•					
Power Assets Holdings Ltd							•							
PPL Corp							•							
RWE AG							•							
Tiger Brands						•								
Vistra Energy							•							
Wells Fargo & Company						•								

## PRO-ACTIVE ENGAGEMENTS (ACTIAM- AND THIRD PARTY- LED)

Number of companies	Topic	Sector	Region <sup>1</sup>
11 companies	Labour Rights	Various sectors	All regions
6 companies	Management and remuneration	Various sectors	All regions
4 companies	Corruption	Banks	Europe and Asia-Pacific
1 company	Cyber security	Commercial & Professional Services	North-America
4 companies	Animal Welfare	Various sectors	All regions
2 companies	Health	Various sectors	All regions
46 companies	Climate Change	Various sectors	All regions
5 companies	Land Use	Various sectors	All regions
22 companies	Human Rights	Various sectors	All regions
2 companies	Deforestation	Food, Beverage & Tobacco	Asia-Pacific
44 companies	General topics	Various sectors	All regions
30 companies	Product and Client Integrity	Various sectors	All regions
15 companies	Transparency and Disclosure	Various sectors	All regions
3 companies	Pollution	Chemicals and Construction Materials	North-America and Asia-Pacific
17 companies	Water Use	Various sectors	All regions

<sup>1</sup> The following countries are included in the Asia-Pacific region: Australia, Hong Kong, Japan, New-Zealand and Singapore.

## PRO-ACTIVE COLLECTIVE ENGAGEMENTS

Number of companies	Topic	Sector	Region <sup>2</sup>
150 companies	BBFAW - Global Investor Collaboration on Farm Animal Welfare	Supermarkets	All regions
163 companies	Climate Action100+	Various sectors	All regions
25 companies	FAIRR Sustainable Protein engagement	Supermarkets	All regions
6 companies	Global Investor engagement on meat sourcing	Restaurants	North-America
11 companies	ICCR Investor letter on lobbying by food and beverage companies	Food, Beverage & Tobacco	North-America
22 companies	ATNI - Investor Expectations on Nutrition, Diets and Health sent to company	Food, Beverage & Tobacco	All regions
20 companies	Opportunities 2018 Access to Medicine Index	Pharmaceuticals, Biotechnology	All regions
33 companies	Platform Living Wage Financials	Consumer Durables & Apparel	All regions
33 companies	PRI Climate change transition for oil and gas engagement	Energy	All regions
30 companies	PRI Methane engagement	Various sectors	All regions
15 companies	PRI Sustainable Palm Oil engagement	Food, Beverage & Tobacco	Asia-Pacific
32 companies	PRI-Ceres Investor Initiative for Sustainable Forests	Food, Beverage & Tobacco	Central- and South-America
7 companies	Sustainability challenges for the plastics industry	Paper and packaging products	All regions
1 company	Human Rights Violations in Myanmar	Food, Beverage & Tobacco	Asia-Pacific
6 companies	Energy Efficiency	Chemicals, Metals and Mining	All regions
5 companies	Plastic Solutions Investors Alliance	Various sectors	Europe and North-America
12 companies	Water Stewardship engagement	Various sectors	All regions

<sup>2</sup> The following countries are included in the Asia-Pacific region: Australia, Hong Kong, Japan, New-Zealand and Singapore.