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# RESPONSIBLE INVESTING

Overview engagements








September 2020

## LEGENDA

### Fundamental Investment Principles

Theme	For companies	For sovereigns
	<b>Principles related to humans &amp; humanity</b>	
  	<ol style="list-style-type: none"> <li>1. Compliance with basic human rights</li> <li>2. Compliance with basic labour rights</li> <li>3. No involvement in controversial weapons, no production and sale of civilian firearms and no provision of military equipment to military regimes</li> </ol>	<ol style="list-style-type: none"> <li>a. Uphold and protect fundamental human rights</li> <li>b. No involvement in controversial arms trade</li> </ol>
	<b>Principles related to society</b>	
  	<ol style="list-style-type: none"> <li>4. Compliance with international sanctions</li> <li>5. No systematic involvement in fraud, corruption and tax evasion</li> <li>6. No significant involvement in products or businesses doing harm to human (mental) health or animal welfare</li> </ol>	<ol style="list-style-type: none"> <li>c. The notice and the application of the principles of good governance and anti-corruption efforts</li> </ol>
	<b>Principles related to the environment</b>	
	<ol style="list-style-type: none"> <li>7. No systematic involvement in severe environmental damage</li> </ol>	Idem as c.

### Fundamental Material Drivers

Drivers		
	<b>Fossil fuel use</b>	Management of fossil fuels use, impacting among other things climate change, air pollution, energy availability and human health
	<b>Water use</b>	Management of freshwater use in water scarce areas, impacting among other things water availability, water quality, health and food production
	<b>Land use</b>	Land conversion management especially for agricultural purposes, impacting climate change, biodiversity loss, and water flows but also local communities and inequalities.
	<b>Chemicals and waste management</b>	Management of toxic and long-lived chemical substances and (hazardous) waste and plastics, impacting the environment and human health.
	<b>Social capital management</b>	Actions to maintain the license to operate on which businesses and sovereigns depend, impacting human rights, community relations, social equity and access and affordability of for instance health care and finance.
	<b>Human capital management</b>	Activities related to labour and union rights, employee health & safety and labour practices, impacting educational opportunities and income and gender inequality.
	<b>Organisational behaviour and integrity</b>	Actions to create an ethical business environment, impacting community networks, justice and work conditions. For companies this refers to their own business model, but also to how they treat companies up- and downstream in their value chain. For sovereigns this refers to how they govern human, civic and political rights.

## RESPONSIVE ENGAGEMENTS

Company	Fundamental Investment Principles							Fundamental Material Drivers						
AGL Energy Ltd							•							
Bausch Health Companies Inc.	•													
Bayer Aktiengesellschaft			•			•								
BHP Billiton Ltd	•						•							
Companhia Siderúrgica Nacional										•				
Glencore Plc		•			•		•							
Golden Agri Resources	•						•							
Indofood Sukses Makmur PT		•					•							
Johnson & Johnson	•				•	•								
Mitsubishi Corporation							•							
Mitsubishi Motors Corp													•	
Pioneer Natural Resources Corp										•				
Power Assets Holdings Ltd							•							
PPL Corp							•							
RWE AG							•							
Tiger Brands						•								
Vistra Energy							•							
Wells Fargo & Company						•								

## PROACTIVE ENGAGEMENTS

Topic of initiative	Number of companies
Access to Medicine	19 (1 active)
Animal Welfare	143
Climate Change Action	163 (3 active)
Climate Change Transition for Oil and Gas Companies	33 (1 active)
Energy Efficiency	6 active
Lobbying by Food and Beverage Companies	11
Climate and Water Risks in Meat Sourcing	6 (1 active)
Methane Engagement	30 (1 active)
Palm Oil	15 (3 active)
Sustainability Challenges for the Plastics Industry	7 (1 active)
Sustainable Protein	25
Water Stewardship	12 active
Engagements in collaboration with a third party: various subjects	225